

17589 U.S. PTO
032904

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 27702/10049B

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

22141 U.S. PTO
10/811510
032904

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Stephen E. O'Rourke, Kimberly L. Stefanisin, and Gary Wentworth

Title: Low Polarity Dimerate And Trimerate Esters As Plasticizers For Thermoplastic Compositions

1. Type of Application

☒ This is a new application for a

☒ utility patent.

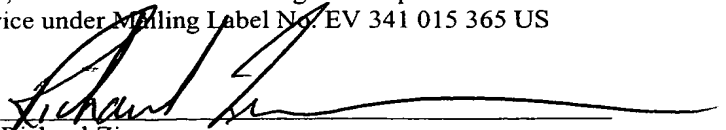
☒ This claims the benefit under 35 U.S.C. §119(e) of U.S. provisional patent application serial no. 60/458,648, filed March 28, 2003, and U.S. provisional patent application serial no. 60/460,903, filed April 7, 2003.

2. Application Papers Enclosed

1 Title Page
14 Pages of Specification (excluding Claims, Abstract, Sequence Listing & Drawings)
8 Page(s) of Claims
1 Page(s) of Abstract
0 Sheet(s) of Drawings

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **March 29, 2004**, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 341 015 365 US


Richard Zimmermann

3. **Declaration or Oath**

☒ Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

4. **Small Entity Status**

☒ Applicant claims small entity status. See 37 CFR 1.27.

5. **Additional Papers Enclosed**

☒ Return receipt postcard

6. **Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

☒ are not attached.

COUNTRY	APPLICATION NO.	FILED
US	60/458,648	March 28, 2003
US	60/460,903	April 7, 2003

7. **Filing Fee Calculation (37 CFR 1.16)**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT(S) (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$385.00		\$770.00
TOTAL	26 - 20	= 6	X 9 =	\$54.00	X 18 =	
INDEP.	2 - 3	= 0	X 43 =	\$0.00	X 86 =	
First Presentation of Multiple Dependent Claim			+ 145 =	\$0.00	+ 290 =	
Filing Fee:				\$439.00	OR	

Total Fees Enclosed \$439.00

8. Method of Payment of Fees



Enclosed check in the amount of:

\$439.00

9. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun LLP at the address below.

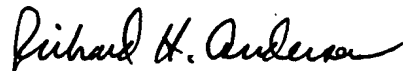
10. Correspondence Address

Customer No. 04743

Respectfully submitted,

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By:



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March 29, 2004